

SHUBHAM STOCK BROKING SERVICES PRIVATE LIMITED**(Stock Broking and DP Services)****Annexure C****Investor Complaints Data for the Month of October, 2026**

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution time
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Direct from Investor	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Depositories/ Stock Exchanges	0	0	0	0	0	0	0
4	Other Source if any	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

Trend for monthly disposal of complaints for FY 2026-27

Sno	Month	Carried forward from Previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	Apr-26	0	0	0	0
2	May-26	0	0	0	0
3	Jun-26	0	0	0	0
4	Jul-26	0	0	0	0
5	Aug-26	0	0	0	0
6	Sep-26	0	0	0	0
7	Oct-26	0	0	0	0
	Grand Total	0	0	0	0

Trend for Annual disposal of complaints

Sno	Fin Year	Carried forward from previous month	Received during the year	Resolved during the Year	Pending at the end of the year
1	2	3	4	5	6
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24	0	0	0	0
8	2024-25	0	0	0	0
9	2025-26	0	1	1	0
	Grand Total	0	1	1	0